

AGENCY INFORMATION SECURITY PROGRAM DATA				1. PERIOD COVERED				INTERAGENCY REPORT CONTROL NUMBER 0230-GSA-AN				
				A. FROM		B. TO						
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT National Aeronautics and Space Administration				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Erwin V. Minter (b) (6)								
4. SENIOR OFFICIAL (Section 5.3, E.O. 12356) Mark R.J. Borsi Director, Security Management Office												
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES												
A. TOP SECRET			B. SECRET			C. CONFIDENTIAL			D. TOTAL			
4			10						14			
6. ADDITIONAL DECLASSIFICATION AUTHORITIES												
A. TOP SECRET			B. SECRET			C. CONFIDENTIAL			D. TOTAL			
0			0						0			
7. CLASSIFICATION DECISIONS		ORIGINAL									DERIVATIVE	
		DATE OR EVENT			ORIGINATING AGENCY'S DETERMINATION REQUIRED (O.A.D.R.)							
		(a) = 0			(b) = 1			T8T = 405 (c)				
A. TOP SECRET		0			0			0 = 0				
B. SECRET		0			0			378 = 378				
C. CONFIDENTIAL		0			1			26 = 27				
8. MANDA- TORY REVIEW REQUESTS AND APPEALS	CASES FOR WHICH AGENCY IS RESPONSIBLE FOR FINAL DECISION											
	CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	DECLASSIFICATION DECISIONS (Report in cases, documents, and pages)									CASES CARRIED OVER TO NEXT PERIOD (f)
			GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			
			CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS	3	14-17	13	56	1906	0	0	0	0	0	0	4 ✓
B. APPEALS	0	0	0	0	0	0	0	0	0	0	0	0 ✓
9. SYSTEMATIC REVIEW FOR DECLASSIFICATION (In pages)												
A. REVIEWED						B. DECLASSIFIED			10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS			
195,227						161,401			100 ✓			
11. NUMBER OF INFRACTIONS INVOLVING:												
A. OVERCLASSIFICATION			B. UNDERCLASSIFICATION			C. CLASSIFICATION WITHOUT AUTHORITY			D. MISMARKING			
1			0			2			2			
E. IMPROPER DESTRUCTION		F. UNAUTHORIZED ACCESS		G. IMPROPER STORAGE		H. IMPROPER REPRODUCTION		I. IMPROPER TRANSMISSION		J. OTHER (Elaborate under Item 12)		
0		3		24		0		8		0		
12. REMARKS												

**AGENCY INFORMATION
SECURITY PROGRAM DATA**

1. PERIOD COVERED

A. FROM

B. TO

Oct 1, 1995

Sept. 30, 1996

INTERAGENCY REPORT
CONTROL NUMBER

0230-GSA-AN

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

National Aeronautics & Space
Administration3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and
telephone no.)

Erwin v. Minter

(b) (6)

4. SENIOR OFFICIAL (Section 5.3, E.O. 12356)

Mark R.J. Borsi
Director, Security, Log & Ind Rel Div

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET

B. SECRET

C. CONFIDENTIAL

D. TOTAL

5

5

6. ADDITIONAL DECLASSIFICATION AUTHORITIES

A. TOP SECRET

B. SECRET

C. CONFIDENTIAL

D. TOTAL

D O N O T C O M P L E T E _ _ _ _ _

ORIGINAL

7. CLASSIFICATION
DECISIONSDECLASSIFY IN 10 YEARS
OR LESS

(a)

EXEMPT FROM DECLASS
IN 10 YEARS OR LESS

(b)

DERIVATIVE

(c)

A. TOP SECRET

0

0

0

B. SECRET

0

0

161

C. CONFIDENTIAL

0

0

19

8. MANDA-
TORY
REVIEW
REQUESTS
AND
APPEALSCASES
CARRIED
OVER FROM
PREVIOUS
PERIOD

(a)

NEW
CASES
RECEIVED

(b)

DECLASSIFICATION DECISIONS
(Report in cases, documents, and pages)

GRANTED IN FULL

(c)

GRANTED IN PART

(d)

DENIED

(e)

CASES
CARRIED
OVER TO
NEXT
PERIOD

(f)

pages

PAGES

PAGES

A. REQUESTS

4

0

250

0

0

0

B. APPEALS

0

0

0

0

0

0

9. PAGES DECLASS: AUTO DECLASS & SYSTEMATIC REV. (In pages)

A. REVIEWED

238,000

B. DECLASSIFIED

154,300

10. NUMBER OF FORMAL INSPECTIONS,
SURVEYS, OR PROGRAM REVIEWS

54

11. NUMBER OF INFRACTIONS INVOLVING:

A. OVERCLASSIFICATION

B. UNDERCLASSIFICATION

C. CLASSIFICATION WITHOUT
AUTHORITY

D. MISMARKING

D O N O T C O M P L E T E _ _ _ _ _

E. IMPROPER
DESTRUCTIONF. UNAUTHORIZED
ACCESSG. IMPROPER
STORAGEH. IMPROPER
REPRODUCTIONI. IMPROPER
TRANSMISSIONJ. OTHER (Elaborate
under Item 12)

D O C O M P L E T E _ _ _ _ _

12. REMARKS : The NASA Declassification Plan has been approved by ISOO: Declassification Authority has been delegated to each NASA Installation for the purpose of declassifying NASA records of permanent historical value created over 25 years ago at the Regional Archives & Records Centers and to Headquarters for the National Archives. The progra is impacted by downsizing, buyouts, and meager funding.

AUTHORIZED FOR LOCAL REPRODUCTION. PREVIOUS EDITION UNAVAILABLE.

(IMPORTANT - Read instructions on reverse before completing this form)				INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN		
AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA				1. FISCAL YEAR COVERED 1997		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT National Aeronautics and and Space Administration				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Erwin v. Minter (b) (6)		
4. SENIOR AGENCY OFFICIAL (Section 5.2, E.O. 12958) Mark R.J. Borsi Director, NASA Security Management Office						
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES						
A. TOP SECRET 5		B. SECRET 0		C. CONFIDENTIAL 0		D. TOTAL 5
6. NUMBER OF CLASSIFICATION DECISIONS						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION				DERIVATIVE	
	DECLASSIFY IN 10 YEARS OR LESS (a)		EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)			
A. TOP SECRET	0		0		0	
B. SECRET	0		0		133	
C. CONFIDENTIAL	0		0		26	
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (c)	NEW CASES RECEIVED (d)	CASES CARRIED OVER TO NEXT PERIOD (e)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (f)	GRANTED IN PART (g)	DENIED (h)
A. REQUESTS	0	75	0	3,750	0	0
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) <div style="border: 1px solid black; padding: 5px; display: inline-block;">802,582</div> (350,801)				9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 37		
10. EXPLANATORY COMMENT (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						
Automatic Declassification of NACA/NASA documents pertaining to DYNA-SOAR 1 System, including X-15 and X-20. 2,582 pages for the US Air Force, SA/AAZD. In the automatic declassification of 350,801 pages, a total of 802,582 802,582 pages were processed due to unclassified pages interspersed throughout the records. The unclassified pages have significant value too, if only to decrease the cost of classified storage.						
802582 per Telecom w/ Erwin Minter 11/20/98 L. Kimberly						

(IMPORTANT - Read Instructions on Work Page (W) below before completing this form)				INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN		
AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA				1. FISCAL YEAR COVERED 1998		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT National Aeronautics and Space Administration				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Erwin v. Minter (b) (6)		
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) Mark R.J. Borsi, JLS						
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES						
A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL			
5	0	0	5			
6. NUMBER OF CLASSIFICATION DECISIONS						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE			
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)				
A. TOP SECRET	0	0	T= 98			
B. SECRET	0	0	87			
C. CONFIDENTIAL	0	0	11			
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	0	2	0	2	0	0
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION] (Sections 3.4 and 3.5 of EO 12958) 98,418			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 26			
10. EXPLANATORY COMMENT (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						
There were 83,762 pages of NACA/NASA Automatic Declassification and 14,656 pages Systematic Review for Declassification.						
NASA Contractors do not report data for inclusion into NASA's Annual Information Program Data report.						
NASA does not use a sampling method to collect the statistical data for the SF 311 report.						
NASA declassified all National Advisory Committee Documents (1914 to 1958) under the provision of Systematic Declassification Review Guidelines except for 4 areas of exemptions, Foreign Government Information, Intelligence Sources and Methods, Communication Security, Restricted & Formerly Restricted Data in 1983 which had been accessioned into the National Archives of the United States. Documents were marked unclassified when the document was requested for research. Thus the collection requires unclassified marking except for 9 Restricted or Formerly Restricted documents. The five year goal appears do-able. The primary work for automatic declassification lies in the period 1958 to 1970.						

(IMPORTANT - Read instructions on Work Page (W) below before completing this form)				INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN		
AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA				1. FISCAL YEAR COVERED 1999		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT National Aeronautics and Space Administration			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) Erwin v. Minter ^{(b) (6)}			
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) Mark R.J. Borsi						
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES						
A. TOP SECRET <div style="text-align: center;">5</div>		B. SECRET <div style="text-align: center;">0</div>		C. CONFIDENTIAL <div style="text-align: center;">0</div>		D. TOTAL <div style="text-align: center;">5</div>
6. NUMBER OF CLASSIFICATION DECISIONS						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION				DERIVATIVE	
	DECLASSIFY IN 10 YEARS OR LESS (a)		EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)			
A. TOP SECRET	0		0		0	
B. SECRET	0		0		47	
C. CONFIDENTIAL	1		0		13	
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	0	4	0	9,375	0	0
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION] (Sections 3.4 and 3.5 of EO 12958) 400,758			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 68			
10. EXPLANATORY COMMENT (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						
Director, NASA Security Office, conducted 15 training and familiarization presentations to Managers throughout NASA. The 400,758 pages indicated at Item 8 are the results of Automatic Declassification. The National Archivist and staff are highly commended for rapidly employing the NASA Automatic Declassification Guidelines to declassify the preponderance of NACA/NASA permanent historical documents from 1914 to 1975. Automatic declassification of historical documents over 25 years of age acceptable to the National Archivist prior to April 1, 2000 appears at this time to be doable. Exemptions will be reviewed and equities forwarded to the appropriate agencies for review.						

(IMPORTANT - Read instructions on Work Page (W) below before completing this form)				INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN		
AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA				1. FISCAL YEAR COVERED 2000		
National Aeronautics and Space Administration				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) John C. Hagan Security Management Office (Code X1) (b) (6)		
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) Mark R. J. Borsi						
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES						
A. TOP SECRET <div style="text-align: center;">3</div>		B. SECRET <div style="text-align: center;">1</div>		C. CONFIDENTIAL		D. TOTAL <div style="text-align: center;">4</div>
6. NUMBER OF CLASSIFICATION DECISIONS						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION					
	DECLASSIFY IN 10 YEARS OR LESS (a)		EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)		DERIVATIVE	
A. TOP SECRET	2					
B. SECRET	10		2		13	
C. CONFIDENTIAL	201				2	
7. MANDATORY REVIEW REQUESTS						
	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	—	27	○	175 approx.		—
B. APPEALS						
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION] (Sections 3.4 and 3.6 of EO 12958) 6,748				9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 63		
10. EXPLANATORY COMMENT (Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						

(IMPORTANT - Read instructions on Work Page (W) below before completing this form)		INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN	
AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA		1. FISCAL YEAR COVERED 2001	
2. DEPARTMENT. INDEPENDENT AGENCY OR ESTABLISHMENT National Aeronautics and Space Administration		3. CONTACT FOR ADDITIONAL INFORMATION <i>(Name, office and telephone no.)</i> John C. Hagan Security Management and Safeguards, Code X (b) (6)	
4. SENIOR AGENCY OFFICIAL <i>(Section 5.6, E.O. 12958)</i> Mark R. J. Borsi			
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES			
A. TOP SECRET 3	B. SECRET 1	C. CONFIDENTIAL 0	D. TOTAL 4
6. NUMBER OF CLASSIFICATION DECISIONS			
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	18	0	0
B. SECRET	45	1	62
C. CONFIDENTIAL	43	1	24
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)
	DECLASSIFICATION DECISIONS (Report in pages)		
	GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	3	28	3
B. APPEALS	0	1	1
	415	0	500
	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION] <i>(Sections 3.4 and 3.5 of EO 12958)</i> 145,255		9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 121	
10. EXPLANATORY COMMENT <i>(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)</i>			
(b) (1) (B), (b) (7)(E)			

(IMPORTANT - Read instructions on Work Page (W) below before completing this form)

INTERAGENCY REPORT
CONTROL NUMBER

0230-NAR-AN

**AGENCY SECURITY CLASSIFICATION
MANAGEMENT PROGRAM DATA**

1. FISCAL YEAR COVERED

2002

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

National Aeronautics and Space Administration

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office
and telephone no.)

Catherine Van Arsdell

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

John Piasecky, Director of Office of Security Management

Security Management and Safeguard, Code X

(b) (6)

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET

5

B. SECRET

1

C. CONFIDENTIAL

D. TOTAL

6

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	13	6	5
B. SECRET	44	5	531
C. CONFIDENTIAL	2,352	0	180

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	4	4	5	16,522	1,992	4,745
B. APPEALS	1	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND
SYSTEMATIC REVIEW FOR DECLASSIFICATION]
(Sections 3.4 and 3.5 of EO 12958)

61,055 pages and 1 document

9. INTERNAL AGENCY OVERSIGHT

Number of Formal Inspections, Surveys, or Program Reviews

91

10. EXPLANATORY COMMENT

(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)

IMPORTANT - Read instructions on Work Page (W) below before completing this form)

INTERAGENCY REPORT
CONTROL NUMBER

0230-NAR-AN

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED

2003

National Aeronautics and Space Administration

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

John A. Piasecky, Dir Sec Mngt Office

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office
and telephone no.)

William Morrison

Code X

(b) (6)

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET

5

B. SECRET

0

C. CONFIDENTIAL

0

D. TOTAL

5

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	3	31	0
B. SECRET	10	2	540
C. CONFIDENTIAL	107	67	11

7. MANDATORY REVIEW REQUESTS

CASES
CARRIED
OVER FROM
THE PREVIOUS
PERIOD
(a)

NEW CASES
RECEIVED
(b)

CASES
CARRIED
OVER TO
NEXT
PERIOD
(c)

DECLASSIFICATION DECISIONS (Report in pages)

GRANTED IN
FULL
(d)

GRANTED IN
PART
(e)

DENIED
(f)

A. REQUESTS

0

2

0

1,817

4,092

792

B. APPEALS

0

0

0

0

0

0

**8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND
SYSTEMATIC REVIEW FOR DECLASSIFICATION]**
(Sections 3.4 and 3.5 of EO 12958)

67,010

9. INTERNAL AGENCY OVERSIGHT

Number of Formal Inspections, Surveys, or Program Reviews

72

10. EXPLANATORY COMMENT

(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)

Cost expended on declassification efforts totaled \$75,000.00

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

FY 2004

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

JOHN PIASECKY, DIRECTOR SECURITY MANAGEMENT
OFFICE OF SECURITY & PROGRAM PROTECTION

(b) (6) FAX: (b) (6) E-MAIL: JPIASECK@NASA.GOV

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

WILLIAM MORRISON
SECURITY ADMINISTRATION

(b) (6) FAX: (b) (6) E-MAIL: WMORRISON@NASA.GOV

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.

5.

5

6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.

6.

0

7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.

7.

0

8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

8.

5

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.

9.

0

10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.

10.

0

11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)

11.

0

12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.

12.

0

13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.

13.

0

14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)

14.

0

15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.

15.

0

16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.

16.

0

17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)

17.

0

18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)

18.

0

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is **already classified**. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of **derivative TOP SECRET** classifications during the reporting period.

19

0

20. Enter the number of **derivative SECRET** classifications during the reporting period.

20.

205

21. Enter the number of **derivative CONFIDENTIAL** classifications during the reporting period.

21.

8

22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

22.

213

Enter the **Fiscal Year** that this report covers.

FY 2004

Identify the **Department, Independent agency or establishment** that is covered by this report.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report **only** requests for your agency in which **your agency is responsible for the final decision**. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	
		35
24. Enter the number of new requests received during the reporting period.	24.	
		80
25. Enter the number of requests carried over to the next reporting period.	25.	
		115
26. Enter the number of appeals carried over from the previous reporting period.	26.	
		0
27. Enter the number of new appeals received during the reporting period.	27.	
		0
28. Enter the number of appeals carried over to the next reporting period.	28.	
		0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full .	29.	
		668
30. Enter the number of requested pages that were declassified in part .	30.	
		0
31. Enter the number of requested pages that were denied declassification.	31.	
		469
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	
		1,137
33. Enter the number of appealed pages that were declassified in full .	33.	
		0
34. Enter the number of appealed pages that were declassified in part .	34.	
		0
35. Enter the number of appealed pages that were denied declassification.	35.	
		0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	
		0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37.	
		534,089
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	
		138,241

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	
		78
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	
		0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

(Question #23) - Review of previous project management holdings revealed additional mandatory reviews that are currently being processed.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.

FY 2005

2. Identify the Department, Independent agency or Establishment that is covered by this report.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

JOHN PIASECKY, DIRECTOR SECURITY MANAGEMENT

OFFICE OF SECURITY & PROGRAM PROTECTION

(b) (6)

FAX: (b) (6)

E-MAIL: JPIASECK@HQ.NASA.GOV

4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report.

STEVEN L. PEYTON

SECURITY SPECIALIST

(b) (6)

FAX: (b) (6)

E-MAIL: STEVEN.L.PEYTON@NASA.GOV

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.

5.

4

6. Enter the number of agency officials whose highest level of original classification authority is SECRET.

6.

1

7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.

7.

0

8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

8.

5

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

9.

0

10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

10.

0

11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)

11.

0

12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

12.

0

13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

13.

0

14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)

14.

0

15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.

15.

0

16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

16.

0

17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)

17.

0

18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)

18.

0

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of derivative TOP SECRET classifications during the reporting period.

19.

8

20. Enter the number of derivative SECRET classifications during the reporting period.

20.

1,552

21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.

21.

16

22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

22.

1,576

Enter the Fiscal Year that this report covers.

FY 2005

Identify the Department, Independent agency or establishment that is covered by this report.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.

23.

~~0~~ 0

24. Enter the number of new requests received during the reporting period.

24.

~~500~~ 1

25. Enter the number of requests carried over to the next reporting period.

25.

~~145~~ 0

26. Enter the number of appeals carried over from the previous reporting period.

26.

0

27. Enter the number of new appeals received during the reporting period.

27.

0

28. Enter the number of appeals carried over to the next reporting period.

28.

0

Per
Attached
E-mail

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.

29.

~~8,694~~ 325

30. Enter the number of requested pages that were declassified in part.

30.

0

31. Enter the number of requested pages that were denied declassification.

31.

0

32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)

32.

~~8,694~~ 325

33. Enter the number of appealed pages that were declassified in full.

33.

0

34. Enter the number of appealed pages that were declassified in part.

34.

0

35. Enter the number of appealed pages that were denied declassification.

35.

0

36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)

36.

0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.

37.

2,773,829

38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.

38.

479,785

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.

39.

23

40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)

40.

0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Question # 20 - Numbers increased due to new focus and programs on Counter-Terrorism and Counter-Intelligence at NASA.

Question # 37 - CIA 186 pg's, RAC 2, 284 pg's, NARA 1,262,67 pages.

Question # 38 - CIA 214 pg's, RAC 827 pg's

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.
FY- 2006
2. Identify the **Department, Independent agency or Establishment** that is covered by this report.
National Aeronautics and Space Administration (NASA)
3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.
Phillip Bounds
Director, Security Management Division
Office of Security and Program Protection (b) (6)
Phillip.A.Bounds@nasa.gov
4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.
Steven L. Peyton
Information Security Program Manager
(b) (6) Steven.L.Peyton@nasa.gov

PART B: Officials with Original Classification Authority

- | | |
|--|---------|
| 5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET . | 5.
6 |
| 6. Enter the number of agency officials whose highest level of original classification authority is SECRET . | 6.
0 |
| 7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL . | 7.
0 |
| 8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7) | 8.
6 |

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

- | | |
|---|--------------|
| 9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less . | 9.
0 |
| 10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years . | 10.
8 |
| 11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10) | 11.
8 |
| 12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less . | 12.
0 |
| 13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years . | 13.
2,250 |
| 14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13) | 14.
2,250 |
| 15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less . | 15.
0 |
| 16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years . | 16.
0 |
| 17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16) | 17.
0 |
| 18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17) | 18.
2,258 |

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

- | | |
|---|--------------|
| 19. Enter the number of derivative TOP SECRET classifications during the reporting period. | 19
11 |
| 20. Enter the number of derivative SECRET classifications during the reporting period. | 20.
6,160 |
| 21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period. | 21.
111 |
| 22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21) | 22.
6,282 |

Enter the **Fiscal Year** that this report covers.

FY- 2006

Identify the **Department, Independent agency or establishment** that is covered by this report.

National Aeronautics and Space Administration (NASA)

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.

23.

0

24. Enter the number of **new requests** received **during** the reporting period.

24.

2

25. Enter the number of **requests carried over** to the **next** reporting period.

25.

0

26. Enter the number of **appeals carried over** from the **previous** reporting period.

26.

0

27. Enter the number of **new appeals** received **during** the reporting period.

27.

0

28. Enter the number of **appeals carried over** to the **next** reporting period.

28.

0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were declassified **in full**.

29.

31

30. Enter the number of **requested pages** that were declassified **in part**.

30.

0

31. Enter the number of **requested pages** that were **denied** declassification.

31.

0

32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)

32.

31

33. Enter the number of **appealed pages** that were declassified **in full**.

33.

0

34. Enter the number of **appealed pages** that were declassified **in part**.

34.

0

35. Enter the number of **appealed pages** that were **denied** declassification.

35.

0

36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)

36.

0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed during the reporting period** that were **subject to declassification** under Sections 3.3 & 3.4, EO 12958, as amended.

37.

1,117,453

38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.

38.

641,482

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.

39.

25

40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)

40.

0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Question #37 - CIA 1780 pgs; RAC 88 pgs; NARA 110,016 pgs

Question #38 - CIA declassified in full - 55 pgs; CIA declassified in part - 1,245; RAC declassified in full - 8 pgs; RAC declassified in part - 80 pgs

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the Fiscal Year that this report covers. FY 2007
2. Identify the Department, Independent agency or Establishment that is covered by this report. National Aeronautics and Space Administration (NASA)
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended Section 5.4(d)) responsible for this report. Phillip Bounds Director, Security Management Division Office of Security and Program Protection (b) (6) Phillip.A.Bounds@nasa.gov
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. Steven I. Peyton Information Security Program Manager (b) (6) Steven.L.Peyton@nasa.gov

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.	6
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.	0
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.	0
8. Total number of officials with original classification authority (Sum of blocks 5, 6, & 7)		6

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	11.	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.	9
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.	0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.	9
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	9

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating or generating in new form information that is already classified. This includes classification based on classification guides, or other source documents. (Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classifications during the reporting period.	19.	6
20. Enter the number of derivative SECRET classifications during the reporting period.	20.	2,799
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	21.	247
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	3,052

Enter the Fiscal Year that this report covers.

FY 2007

Identify the Department, Independent agency or establishment that is covered by this report.
National Aeronautics and Space Administration (NASA)

PART E: Mandatory Declassification Review Requests and Appeals

A request is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	2
24. Enter the number of new requests received during the reporting period.	24.	3
25. Enter the number of requests carried over to the next reporting period.	25.	3
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	0
30. Enter the number of requested pages that were declassified in part.	30.	21
31. Enter the number of requested pages that were denied declassification.	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37.	787,390
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	434,000

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews covering any aspect of the security classification program, completed during the reporting period.	39.	13
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

(Question #37) - RAC 1200 pgs; CIA 0 pgs; NARA 0 pgs;
(Question #38) - RAC declassified in full = 1200 pgs;

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the Fiscal Year that this report covers

2008

2. Identify the Department, Independent agency or Establishment that is covered by this report.

3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report

Jack L. Forsythe
Assistant Administrator, Office of Security and Program Protection
(b) (6)

4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report

Steven L. Peyton
Information Security Program Manager
(b) (6) fax (b) (6) Steven.L.Peyton@nasa.gov

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.

5.

6

6. Enter the number of agency officials whose highest level of original classification authority is SECRET.

6.

0

7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.

7.

0

8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

8.

6

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

9.

0

10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

10.

0

11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)

11.

0

12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

12.

0

13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

13.

1

14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)

14.

1

15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.

15.

0

16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

16.

0

17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)

17.

0

18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)

18.

1

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of derivative TOP SECRET classifications during the reporting period.

19.

52

20. Enter the number of derivative SECRET classifications during the reporting period.

20.

5,765

21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.

21.

6

22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

22.

5,823

ap 9/70

Enter the **Fiscal Year** that this report covers.

FY2008

Identify the **Department, Independent agency or establishment** that is covered by this report.

NASA

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.

23.

1

24. Enter the number of **new requests** received **during** the reporting period.

24.

1

25. Enter the number of **requests carried over** to the **next** reporting period.

25.

1

26. Enter the number of **appeals carried over** from the **previous** reporting period.

26.

1

27. Enter the number of **new appeals** received **during** the reporting period.

27.

1

28. Enter the number of **appeals carried over** to the **next** reporting period.

28.

0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were declassified in full.

29.

0

30. Enter the number of **requested pages** that were declassified in **part**.

30.

0

31. Enter the number of **requested pages** that were **denied** declassification.

31.

0

32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)

32.

0

33. Enter the number of **appealed pages** that were declassified in full.

33.

0

34. Enter the number of **appealed pages** that were declassified in **part**.

34.

0

35. Enter the number of **appealed pages** that were **denied** declassification.

35.

720

36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)

36.

720

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed during the reporting period** that were **subject to declassification** under Sections 3.3 & 3.4, EO12958, as amended.

37.

993

38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.

38.

993

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.

39.

16

40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)

40.

0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Note: Question #37- In addition NASA reviewed, Incoming referrals = 250 pages; STAIRS = 465 pages; RAC = 1,478 pages; FOIA = 637 pages

Note: Question #38- In addition NASA declassified, Incoming referrals = 188 pages; STAIRS = 465 pages; RAC = 1,478 pages; and FOIA = 637 pages

Note: The 2 appeals in Question #28 are appeals pending in ISCAP. The page count of these 2 appeals is estimated at 720 pages. However, no decisions have been made on these, therefore NASA can not provide a count of the declassified and withheld pages in Questions 29-36. NASA is currently working the third MDR which was received in August of FY 2008.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

<p>1. Enter the Fiscal Year that this report covers. FY 2009</p>	
<p>2. Identify the Department, Independent agency or Establishment that is covered by this report. National Aeronautics and Space Administration</p>	
<p>3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report. Jack L. Forsythe Assistant Administrator, Office of Protective Services Suite 9U70, 300 E Street SW, Washington, D.C 20546</p>	
<p>4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. Steven L. Peyton Information Security Program Manager Office (b) (6) Fax (b) (6) email: steven.L.peyton@nasa.gov</p>	

PART B: Officials with Original Classification Authority

<p>5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.</p>	5. 6
<p>6. Enter the number of agency officials whose highest level of original classification authority is SECRET.</p>	6. 0
<p>7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.</p>	7. 0
<p>8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)</p>	8. 6

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

<p>9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.</p>	9. 0
<p>10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.</p>	10. 0
<p>11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)</p>	11. 0
<p>12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.</p>	12. 0
<p>13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.</p>	13. 0
<p>14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)</p>	14. 0
<p>15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.</p>	15. 0
<p>16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.</p>	16. 0
<p>17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)</p>	17. 0
<p>18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)</p>	18. 0

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is **already classified**. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

<p>19. Enter the number of derivative TOP SECRET classifications during the reporting period.</p>	19 76
<p>20. Enter the number of derivative SECRET classifications during the reporting period.</p>	20. 35,311
<p>21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.</p>	21. 14
<p>22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)</p>	22. 35,401

Enter the **Fiscal Year** that this report covers.

FY 2009

Identify the **Department, Independent agency or establishment** that is covered by this report.

National Aeronautics and Space Administration

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report **only** requests for your agency in which **your agency is responsible for the final decision**. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	4
24. Enter the number of new requests received during the reporting period.	24.	2
25. Enter the number of requests carried over to the next reporting period.	25.	6
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	0
30. Enter the number of requested pages that were declassified in part.	30.	7,200
31. Enter the number of requested pages that were denied declassification.	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	7,200
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.	0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37.	16,540
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	8,662

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	26
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Tracking Form SF 311 Data

Fiscal Year

2010

Date Due to ISOO

November 15, 2010

Department/Agency

National Aeronautics and Space Administration

Component

ISOO Liaison

Carpenter

Agency POC

Name

Steven L. Peyton

Phone

(b) (6)

E-Mail

steven.l.peyton@nasa.gov

Date Tasking Sent to POC

Date Verification Received

Date of Follow-Up

Nov. 22, 2010 = email

Comments, Issues, etc.

MDR Appeal CNY-005; dramatic decrease in derivative decisions

Additional Follow-Ups (include name of person, date of follow-up, issue, and response):

Email Nov. 30 to S. Peyton,

Response by Z. Taylor-Dunn on Nov 30 -

Removed Appeal nos

on rows 26, 33-36

No response on derivative classification increase yet

Date Response Received

Nov 30 2010

Quality Control

Nov 30, 2010 - JCK

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2010
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. National Aeronautics and Space Administration
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Jack L. Forsythe, Assistant Administrator, Office of Protective Services 300 E ST SW Suite 9U70 Washington, DC 20546 (b) (6)
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Steven L. Peyton, Information Security Program Manager Phone (b) (6) Fax (b) (6) Steven.L.Peyton@nasa.gov
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 3
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 0
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 3
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 0
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 90
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 17059
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 12
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 17161

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	6
24. Enter the number of new requests received during the reporting period.	24.	4
25. Enter the number of requests carried over to the next reporting period.	25.	7
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	0 1 ⁷ ₁ ⁷
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	358
30. Enter the number of requested pages that were declassified in part.	30.	21600
31. Enter the number of requested pages that were denied declassification	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	21958
33. Enter the number of appealed pages that were declassified in full.	33.	0 192
34. Enter the number of appealed pages that were declassified in part.	34.	0 8
35. Enter the number of appealed pages that were denied declassification	35.	0 2
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0 203

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	19172
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	10756
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	0
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0

PART H: Internal Agency Oversight

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	22
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	0
45. Enter the number of challenges where the classification status was fully affirmed.	45.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	0

PART I: Classification Guides

47. Enter the number of security classification guides created by your agency and currently in use.	47.	1
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Fiscal Year

2010

Department or Agency National Aeronautics and Space Administration

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

Sampling Period (check one):2-Week ☐4-Week ☐8-Week ☐One Year ☐**Multiplier Applied (check one):**None ☐Other (Specify) ☐

Data in rows 26 and 33 to 36 were from ISCAP
Appeal No. 2006-012. Change made by ISAO staff
member William C. Carpenter on Nov. 30, 2010

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1. 2011	
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. National Aeronautics and Space Administration	
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Charles E. Lombard, Deputy Assistant Administrator, Office of Protective Services 300 E ST SW Suite 9U70 Washington, DC 20546 (b) (6)	
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Steven L. Peyton, Information Security Program Manager Phone (b) (6) Fax (b) (6) Steven.L.Peyton@nasa.gov	
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5. 3	
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6. 0	
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7. 0	
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 3	
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9. 0	
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10. 0	
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 0	
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12. 0	
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13. 0	
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 0	
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15. 0	
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16. 0	
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0	
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 0	
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 22	
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 27244	
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 17	
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 27283	

PART E: Mandatory Declassification Review Requests and Appeals		
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.		
23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	7
24. Enter the number of new requests received during the reporting period.	24.	1
25. Enter the number of requests carried over to the next reporting period.	25.	5
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0
PART F: Mandatory Declassification Review Decisions in Pages		
29. Enter the number of requested pages that were declassified in full .	29.	80
30. Enter the number of requested pages that were declassified in part .	30.	0
31. Enter the number of requested pages that were denied declassification	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	80
33. Enter the number of appealed pages that were declassified in full .	33.	0
34. Enter the number of appealed pages that were declassified in part .	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0
PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	19656
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	18406
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	0
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0
PART H: Internal Agency Oversight		
43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	18
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	44.	0
45. Enter the number of challenges where the classification status was fully affirmed .	45.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part .	46.	0
PART I: Classification Guides		
47. Enter the number of security classification guides created by your agency and currently in use .	47.	8

Fiscal Year

2011

Department or Agency National Aeronautics and Space Administration

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

Sampling Period (check one):2-Week ☒4-Week ☐8-Week ☐One Year ☐**Multiplier Applied (check one):**None ☐Other (Specify) ☒

A two week sampling was taken for key positions, (example security vs. technical, vs. project management). Multiplier was applied to position type in sampling. For example, 2 week sample for a security professional was 20 times 5 security professional = 100×26 (2 week periods) = 2600.

National Aeronautics and Space Administration

Headquarters

Washington, DC 20546-0001



November 13, 2012

Office of Protective Services

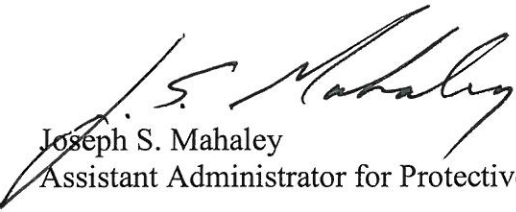
Reply to Attn of:

National Archives and Records Administration
John P. Fitzpatrick/Director
Information Security Oversight Office/ISOO
700 Pennsylvania Avenue, N.W., Room 503
Washington, DC 20408

SUBJECT: Agency Security Classification Management Program Data Standard Form (SF) 311
for FY2012.

As required by Executive Order 13526 and ISOO implementing directives, the National Aeronautics and Space Administration (NASA) Office of Protective Services (OPS) hereby submits the enclosed Agency Security Classification Management Program Data Standard Form (SF-311) for fiscal year 2012.

If you have any questions or need additional information, please contact Steven L. Peyton at
(b) (6) or Steven.L.Peyton@nasa.gov.


Joseph S. Mahaley

Assistant Administrator for Protective Services

Enclosure: (1) Agency Data Form (SF-311) for FY 2012

cc:

OPS/Peter Ambrose

OPS/Steven L. Peyton

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2012
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2.
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b) (6)
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. (b) (6) (b) (6)
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11.
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.

PART E: Mandatory Declassification Review Requests and Appeals	
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.	
For blocks 23-30, report only requests and appeals in which your agency is responsible for the final release.	
23. Enter the number of requests received during the reporting period.	23.
24. Enter the number of requests closed during the reporting period.	24.
25. Enter the number of requests that have been unresolved for over one year.	25.
26. Enter the average number of days to resolve each request.	26.
27. Enter the number of appeals received during the reporting period.	27.
28. Enter the number of appeals closed during the reporting period.	28.
29. Enter the number of appeals that have been unresolved for over one year.	29.
30. Enter the average number of days to resolve each appeal.	30.
For blocks 31-32, report the number of requests and appeals that were referred to your agency, and the referring agency is responsible for the final release.	
31. Enter the number of referred requests received during the reporting period.	31.
32. Enter the number of referred appeals received during the reporting period.	32.
PART F: Mandatory Declassification Review Decisions in Pages	
Count only those pages documented in blocks 23-30 above.	
33. Enter the number of requested pages that were declassified in full .	33.
34. Enter the number of requested pages that were declassified in part .	34.
35. Enter the number of requested pages that were denied declassification.	35.
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.
37. Enter the number of appealed pages that were declassified in full .	37.
38. Enter the number of appealed pages that were declassified in part .	38.
39. Enter the number of appealed pages that were denied declassification.	39.
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.
PART G: Automatic, Systematic, and Discretionary Declassification Reviews	
41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.
42. Enter the number of pages declassified under automatic declassification .	42.
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.
44. Enter the number of pages declassified under systematic declassification .	44.
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.
46. Enter the number of pages declassified under discretionary declassification .	46.
PART H: Internal Agency Oversight	
47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	48.
49. Enter the number of challenges where the classification status was fully affirmed .	49.
50. Enter the number of challenges where the classification status was overturned in whole or in part .	50.
51. Enter the number of security classification guides created by your agency and currently in use .	51.

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1.
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2.
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b) (6)
4. Enter the name, title, phone, fax, and email address for the point-of-contact responsible for answering questions about this report.	4. (b) (6)
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11.
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.
24. Enter the number of requests closed during the reporting period.	24.
25. Enter the number of requests that have been unresolved for over one year.	25.
26. Enter the average number of days to resolve each request.	26.
27. Enter the number of appeals received during the reporting period.	27.
28. Enter the number of appeals closed during the reporting period.	28.
29. Enter the number of appeals that have been unresolved for over one year.	29.
30. Enter the average number of days to resolve each appeal.	30.
31. Enter the number of referred requests received during the reporting period.	31.
32. Enter the number of referred appeals received during the reporting period.	32.

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of requested pages that were declassified in full .	33.
34. Enter the number of requested pages that were declassified in part .	34.
35. Enter the number of requested pages that were denied declassification.	35.
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.
37. Enter the number of appealed pages that were declassified in full .	37.
38. Enter the number of appealed pages that were declassified in part .	38.
39. Enter the number of appealed pages that were denied declassification.	39.
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.
42. Enter the number of pages declassified under automatic declassification .	42.
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.
44. Enter the number of pages declassified under systematic declassification .	44.
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.
46. Enter the number of pages declassified under discretionary declassification .	46.

PART H: Internal Agency Oversight

47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	48.
49. Enter the number of challenges where the classification status was fully affirmed .	49.
50. Enter the number of challenges where the classification status was overturned in whole or in part .	50.
51. Enter the number of security classification guides created by your agency and currently in use .	51.

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1.
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2.
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b) (6)
4. Enter the name, title, phone, fax, and email address for the point-of-contact responsible for answering questions about this report.	4. (b) (6)
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11.
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.
24. Enter the number of requests closed during the reporting period.	24.
25. Enter the number of requests that have been unresolved for over one year.	25.
26. Enter the average number of days to resolve each request.	26.
27. Enter the number of appeals received during the reporting period.	27.
28. Enter the number of appeals closed during the reporting period.	28.
29. Enter the number of appeals that have been unresolved for over one year.	29.
30. Enter the average number of days to resolve each appeal.	30.
31. Enter the number of referred requests received during the reporting period.	31.
32. Enter the number of referred appeals received during the reporting period.	32.

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of requested pages that were declassified in full .	33.
34. Enter the number of requested pages that were declassified in part .	34.
35. Enter the number of requested pages that were denied declassification.	35.
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.
37. Enter the number of appealed pages that were declassified in full .	37.
38. Enter the number of appealed pages that were declassified in part .	38.
39. Enter the number of appealed pages that were denied declassification.	39.
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.
42. Enter the number of pages declassified under automatic declassification .	42.
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.
44. Enter the number of pages declassified under systematic declassification .	44.
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.
46. Enter the number of pages declassified under discretionary declassification .	46.

PART H: Internal Agency Oversight

47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	48.
49. Enter the number of challenges where the classification status was fully affirmed .	49.
50. Enter the number of challenges where the classification status was overturned in whole or in part .	50.
51. Enter the number of security classification guides created by your agency and currently in use .	51.

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1.	2015
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2.	National Aeronautics and Space Administration
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3.	Mr. Joseph S. Mahaley, Assistant Administrator, Protective Service
4. Enter the name, title, phone, and email address for the point-of-contact responsible for answering questions about this report.	4.	Joe Costanza, Information Assurance Program Manager, Office of
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.	5
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.	0
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	5
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.	0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.	0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	0
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.	839
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.	13,408
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.	18
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	14,265

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.0
24. Enter the number of requests closed during the reporting period.	24.1
25. Enter the number of requests that have been unresolved for over one year.	25.0
26. Enter the average number of days to resolve each request.	26.210
27. Enter the number of appeals received during the reporting period.	27. 2 0
28. Enter the number of appeals closed during the reporting period.	28. 2 0
29. Enter the number of appeals that have been unresolved for over one year.	29.0
30. Enter the average number of days to resolve each appeal.	30. 23 0
31. Enter the number of referred requests received during the reporting period.	31.4
32. Enter the number of referred appeals received during the reporting period.	32.1
PART F: Mandatory Declassification Review Decisions in Pages	
33. Enter the number of requested pages that were declassified in full .	33.82500
34. Enter the number of requested pages that were declassified in part .	34.0
35. Enter the number of requested pages that were denied declassification.	35.0
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.82500
37. Enter the number of appealed pages that were declassified in full .	37.0
38. Enter the number of appealed pages that were declassified in part .	38.0
39. Enter the number of appealed pages that were denied declassification.	39.0
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.0
PART G: Automatic, Systematic, and Discretionary Declassification Reviews	
41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.12352
42. Enter the number of pages declassified under automatic declassification .	42.12232
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.0
44. Enter the number of pages declassified under systematic declassification .	44.0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.0
46. Enter the number of pages declassified under discretionary declassification .	46.0
PART H: Internal Agency Oversight	
47. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	47.0
48. Enter the number of challenges where the classification status was fully affirmed .	48.0
49. Enter the number of challenges where the classification status was overturned in whole or in part .	49.0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Block 3:

Mr. Joseph S. Mahaley, Assistant Administrator, Protective Services
300 E Street, SW Suite 6T26, Washington, DC 20546
joseph.s.mahaley@nasa.gov (b) (6)

Block 4:

Joe Costanza, Information Assurance/Industrial Security & Infrastructure Protection Program Manager
joe.costanza@nasa.gov (b) (6)

PART D: Derivative Classification Decisions

NASA's numbers of derivative classification (DC) decisions has been reduced from previous years. Through continued performance of the Agency-level Integrated Security Functional Review Program, expanded involvement of the NASA Information Assurance/Industrial Security Program Manager and Center Information Security Specialists, and enhanced training at centers, NASA centers are doing a better job reviewing and reporting documents for discrepancies. NASA Centers have a better understanding of what to report and no longer account for derivative classification decisions made by other organizations.

PART F: Mandatory Declassification Review Decisions in Pages

Totals for mandatory declassification for this reporting period declined significantly from the previous year due to the special project being completed in 2014. The Internal Mandatory Declassification Review request in 2014 of 94 boxes of material added significantly to the reported numbers for 2014. NASA has placed significant emphasis in bolstering its training to meet the demands of automatic declassification standards and help reduce NASA's inventories in the coming years.

For ISOO Use Only

ISOO Analyst Name: _____

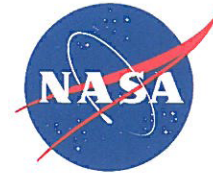
Date of QC: _____

Analyst Initials: _____

National Aeronautics and Space Administration

Headquarters

Washington, DC 20546-001



November 4, 2016

Reply to Attn of:

Office of Protective Services

TO: Director, Information Security Oversight Office

FROM: Assistant Administrator for Protective Services

SUBJECT: Standard Form (SF) 311, Agency Security Classification Management Program Data

REF: ISOO Memorandum Dated July 15, 2016, Same Subject

Pursuant to the Annual Agency Report on Security Classification Management Program Data reporting requirement, NASA submits the attached SF-311.

If you have any questions or require additional information regarding our submission, please contact Mr. Steven Peyton, Director, Security Management Division, at (b) (6) or steven.l.peyton@nasa.gov.

A handwritten signature in black ink, appearing to read "J.S. Mahaley".

Joseph S. Mahaley
Assistant Administrator for Protective Services
National Aeronautics and Space Administration

Attachment: SF 311, Agency Security Classification Management Program Data

Cc:
OPS/C. Lombard, S. Peyton, M. Dodd, L. Matthews

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2016
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. NASA: National Aeronautics and Space Administration
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Joseph S. Mahaley, Assistant Administrator for Protective Services
4. Point-of-contact responsible for answering questions about this report:	
4a. Name:	4a. Zudayah Taylor-Dunn
4b. Title:	4b. Information/Industrial Security Program Manager
4c. Email address:	4c. zudayyah.L.taylor@nasa.gov
4d. Phone number:	4d. (b) (6)
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5. 5
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6. 0
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8. 5
PART C: Original Classification Decisions	
<p>Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)</p>	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10. 0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12. 0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13. 2
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 2
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 2

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.	1,120
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.	6,040
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.	24
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	7,184

PART E: Mandatory Declassification Review Requests

A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request.
Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of REQUESTS received during the reporting period.	23.	3
24. Enter the number of REQUESTS closed during the reporting period.	24.	1
25. Of the REQUESTS entered into block 24, enter the number of pages that were:	25.	
a. Declassified in full.	25a.	50
b. Declassified in part.	25b.	20
c. Denied declassification.	25c.	0
d. Total number of requested pages acted on. (Sum of blocks a, b, and c)	25d.	70
26. Enter the number of REQUESTS that have been unresolved for over one year.	26.	0
27. Enter the AVERAGE NUMBER OF DAYS to resolve each request.	27.	186
28. Enter the number of REFERRED REQUESTS received during the reporting period. (Number of requests referred to your agency from another agency)	28.	1

PART F: Mandatory Declassification Review Appeals

An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request.
Report only appeals for your agency in which your agency is responsible for the final decision.

29. Enter the number of APPEALS received during the reporting period.	29.	0
30. Enter the number of APPEALS closed during the reporting period.	30.	0
31. Of the APPEALS entered into block 30, enter the number of pages that were:	31.	
a. Declassified in full.	31a.	0
b. Declassified in part.	31b.	0
c. Denied declassification.	31c.	0
d. Total number of appealed pages acted on. (Sum of blocks a, b, and c)	31d.	0
32. Enter the number of APPEALS that have been unresolved for over one year.	32.	0
33. Enter the AVERAGE NUMBER OF DAYS to resolve each appeal.	33.	0
34. Enter the number of REFERRED APPEALS received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
35. Enter the number of pages REVIEWED that were subject to automatic declassification under section 3.3 of E.O. 13526.	35.	76,432
36. Enter the number of pages DECLASSIFIED under automatic declassification .	36.	76,422
37. Enter the number of pages REVIEWED that were subject to systematic declassification under section 3.4 of E.O. 13526.	37.	2,583
38. Enter the number of pages DECLASSIFIED under systematic declassification .	38.	180
39. Enter the number of pages REVIEWED that were subject to discretionary declassification under section 3.1 of E.O. 13526.	39.	363
40. Enter the number of pages DECLASSIFIED under discretionary declassification .	40.	363
PART H: Internal Agency Oversight		
41. Enter the number of CHALLENGES processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	41.	0
42. Enter the number of CHALLENGES where the classification status was fully affirmed .	42.	0
43. Enter the number of CHALLENGES where the classification status was overturned in whole or in part .	43.	0
PART I: Intelligence Community (IC) Information		
This section applies only to IC agencies and IC components of other agencies who <i>originally apply</i> the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.		
Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON.		
44. Enter PERCENTAGE of your organization's classified disseminated analytic products originally marked ORCON .	44.	0.00%
45. Enter TOTAL NUMBER of your organization's classified disseminated analytic products originally marked ORCON .	45.	
46. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in full .	46.	
47. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in part . Provide explanation for partial denial in part J below.	47.	
48. Enter the number of ORCON expanded dissemination requests DENIED . Provide explanation for denial in part J below.	48.	
Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON-USGOV.		
49. Enter PERCENTAGE of your organization's analytic products originally marked ORCON-USGOV .	49.	0.00%
50. Enter TOTAL NUMBER of your organization's analytic products originally marked ORCON-USGOV .	50.	
51. Provide TOTAL NUMBER of reports of potential misapplication of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	
52. Has your organization been NEGATIVELY IMPACTED by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52.	N/A

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Sampling Period: The NASA sampling technique used was a 4-week sampling period. Classifiers were instructed to add up the number of derivative classification actions for a particular 4-week period. Then multiply by 13 to get the total derivative classification actions for a 52-week fiscal year.

Part D: NASA uses computer code to generate a classified file as part of an analysis. Some "runs" may create upwards of 10s of thousands of files in a single session, each one is classified. However, less than 100 of these derivative decisions are considered "final products." We have reported only the final products in part D.

Part G: Includes 58524 pages declassified in the IRC and 5298 pages declassified in referrals to NASA from the Presidential Libraries.